POWER OF ANTORNEY OR AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS  First Named Inventor Bernadenc Ann Magnuson  Confirmation Number  Group Art Unit  Examiner Name Not Assigned  Attorney Docket Number 4010.3004 US1  Title ANTHOCYANIN-RICH COMPOSITIONS AND METHODS FOR INHIBITING CANCER CELL GROWTH    We hereby appoint	"SE	EP-13-2004	17:04	TECH COMMERCIALIZA	TION	301 403 2717 P.12/13	
POWER OF ATTORNEY OR AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS  Filing Date  Filing Date  March 8, 2004  First Named Inventor  Confirmation Number  Group Art Unit  Examiner Name Attorney Docket Number  Authorized Compositions and methods for inhibiting cancer cell growth    IWe hereby appoint   IWe hereby appoint   IWe attorneys/agents associated with Customer No. 000038473			OIPE	ELMORE CR	AIG, P.C.		
POWER OF AFTORNEY OR AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS  Filing Date  First Named Inventor  Confirmation Number  Group Art Unit  Examiner Name Altorney Docket Number  Altorney Docket Number  Title  ANTHOCYANIN-RICH COMPOSITIONS AND METHODS FOR INHIBITING CANCER CELL GROWTH  I/We hereby appoint  [X] the attorneys/agents associated with Customer No. 000038473		7	2 / 200/		Application Number	10/795,967	
AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS  Group Art Unit Examiner Name Altorney Docket Number  ANTHOCYANIN-RICH COMPOSITIONS AND METIIODS FOR INHIBITING CANCER CELL GROWTH    We hereby appoint   X   the attorneys/agents associated with Customer No. 000038473		PAT	א און איז		Filing Date	March 8, 2004	
AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS  Group Art Unit Examiner Name Attorney Docket Number  4010.3004 US1  I/We hereby appoint  [X] the attorneys/agents associated with Customer No. 000038473		POWE	ROEATC	ORNEY OR	First Named Inventor	Bernadene Ann Magnuson	
CORRESPONDENCE ADDRESS  Group Art Unit  Examiner Name Attorney Docket Number 4010.3004 US1  Title ANTHOCYANIN-RICH COMPOSITIONS AND METITODS FOR INHIBITING CANCER CELL GROWTH    We hereby appoint   X   the attorneys/agents associated with Customer No. 000038473	Α				Confirmation Number		
Attorney Docket Number 4010.3004 US1  Title ANTHOCYANIN-RICH COMPOSITIONS AND METHODS FOR INHIBITING CANCER CELL GROWTH   /We hereby appoint					Group Art Unit		
Title ANTHOCYANIN-RICH COMPOSITIONS AND METIIODS FOR INHIBITING CANCER CELL GROWTH   ///					Examiner Name	Not Assigned	
I/We hereby appoint  [X] the attorneys/agents associated with Customer No. 000038473					Allorney Docket Number	4010.3004 USI	
[X] the attorneys/agents associated with Customer No. 000038473	Title	ANTHOCYANIN-RICH COMPOSITIONS AND METIIODS FOR INHIBITING CANCER CELL GROWTH					
[X] the attorneys/agents associated with Customer No. 000038473			****				
[X] the attorneys/agents associated with Customer No. 000038473	P						
	lWe	hereby appr	oint				
Practitioner(s) named below:	[X]	the attorne	eys/agents associ:	ated with Customer No.	000038473		
<u> </u>		Practitione	er(s) named below	<b>v</b> :			
			·····				
	as m	av/our attorne	evs/agents to pros	secute the application iden	ntified above, including an	ny continuation or divisional	

[X]	the attorneys/agents associated with Customer No. 000038473
	Practitioner(s) named below:
appli there	
	orrespondence address for the above-identified application is:
[X]	
	Elmore Craig, P.C.
	209 Main Street North Chelmsford,
	Massachusetts 01863
1	Other
•	
	e direct all telephone calls and facsimiles to:
Nam	Darlene A. Vanstone, Esq Tel. No. 978-251-3509 Fax No. 978-251-3973
i am	he:
r 1	Applicant/Inventor.
L	
[X]	Authorized representative of the Assignee, University of Maryland, of the entire interest. See 37 C.F.R. §
-	3.71. A Statement under 37 C.F.R. §3.73(b) is enclosed.
[]	Authorized representative of the Assignee, [ ], together with [ ], of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

	SIGNATURE of Applicant or Assignee of Record	
Name & Title	James A. Poulos, III Executive Director	
Signature	terma Ci. Pour	
Date	) Lept 13'2004	



Docket No. 4010.3004 US1

# STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applica	nts:	Bernadene Ann Magnuson, M. Monica Giusti, Minnie Malik and Cuiwei Zhao
Applica	tion No.:	10/795,967 Filed: March 8, 2004
For:		Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth
·	Univers	ity of Maryland , a <u>university</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states th	at it is	
A,	[X]	the assignee of the entire right, title and interest in the patent application identified above; or
В,	[]	an assignee together with [ ] of the entire right, title and interest in the patent application identified above.
The rigl	ht, title an	id interest of the above-named assignee in the patent application identified above is established by virtue of:
A. [X]		gnment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent demark Office at Reel, Frame, or a copy thereof is attached.
OR		
В.[]	A chain	of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:
	1.	From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or a copy thereof is attached.
	2.	From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or a copy thereof is attached.
	3.	From: To: The document was recorded in the Patent and Trademark Office at  Reel, Frame, or a copy thereof is attached.
	[ ] Ad	ditional documents in the chain of title are listed on a supplemental sheet.
The und	lersigned	(whose title is supplied below) is authorized to act on behalf of the assignee.
Date =		Sept 13, 2004
Name:_		nes A. Poulos, III
Title:	Exe	ecutive Director
Signatu	pc: 6	mo( e - P :



### INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

THIS AGREEMENT by and between: Bernadene Ann Magnuson, an individual having a principal residence at 9117 Helaine Hamlet Way, Columbia, Maryland 21045 (hereinafter referred to as "Assignor"), and the University of Maryland, College Park (hereinafter referred to as "Assignee").

### WITNESSETH:

WHEREAS, Assignor has created and developed certain inventions, improvements, discoveries, software, or other intellectual property, as described in Assignce Invention Disclosure No. LS-2002-012 and Attorney Docket No. 4010.3004 US1 entitled "Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth" and further described in application for Letters Patent in the United States serial number 10/795,967 filed March 8, 2004 and for any and all application(s) for Letters Patent in the United States of America and throughout the world, and all divisional, continuation, continuation-in-part, substitutions, renewals, reissues, extensions and other applications pertaining to the foregoing which have or shall be filed in the United States, any foreign country and other jurisdictions (hereinafter collectively referred to as the "Works"); and

WHEREAS, Assignor agrees that, to the extent the Works are, by operation of law or otherwise, not deemed to be works made for hire within the meaning of the Copyright Act, (Title 17, U.S.C. Section 101, et seq.), Assignor agrees to assign all of his/her right, title and interest in and to the Works to Assignee, and further agrees to take such further actions and to execute such further instruments that Assignee might find reasonable or necessary to perfect or to evidence more clearly its right and claim to exclusive ownership of all of Assignor's worldwide intellectual property interests respecting the Works; and

WHEREAS, Assignor and Assignor now wish to perfect and to evidence more clearly the right and claim of Assignee to exclusive ownership of all of Assignor's intellectual property interests respecting the Works.

NOW, THEREFORE, in consideration of the rights granted to Assignor under the <u>University of Maryland Patent Policy</u> and <u>Copyright Policy</u>, as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time, and other good and valuable consideration furnished by Assignee to Assignor, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee, intending to be legally bound, do hereby covenant and agree as follows:

## Section 1. Assignment of the Works.

Page 2

Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth

LS-2002-012

### Section 2. University of Maryland Copyright and Patent Policies.

The assignment of rights perfected hereunder shall be governed by the <u>University of Maryland</u> Patent Policy and Copyright Policy as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time. Royalty income shall be allocated as set forth in those policies.

### Section 3. Warranty.

Assignor warrants and covenants that he/she is an author or inventor of the Works and that as of the date of this Assignment, has taken no action respecting the Works which purports or attempts to transfer or encumber any right, title or interest in or to the Works to any other party; and covenants not to take such action in the future.

### Section 4. Jurisdiction.

The validity, interpretation, and effect of this agreement shall be governed by the laws of the State of Maryland and of the United States of America. Any legal proceedings involving claims or disputes regarding this agreement shall be brought in the appropriate court in the State of Maryland.

WHEREAS, the parties have caused this Assignment to be executed on the dates below.

ASSIGNOR

Agreed to by:

Printed Name:

Bernadene Ann Magnuson Date: Aught 04

ASSIGNEE (The University of Maryland)

Acknowledged and Agreed to by:

Printed Name:

Title:

**Executive Director** 

Date: Sept 13, 200 7

## INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

THIS AGREEMENT by and between: M. Monica Giusti, an individual having a principal residence at 7716 Cashel Court, Dublin, Ohio 43017 (hereinafter referred to as "Assignor"), and the University of Maryland, College Park (hereinafter referred to as "Assignee").

### WITNESSETH:

WHEREAS, Assignor has created and developed certain inventions, improvements, discoveries, software, or other intellectual property, as described in Assignee Invention Disclosure No. LS-2002-012 and Attorney Docket No. 4010.3004 US1 entitled "Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth" and further described in application for Letters Patent in the United States serial number 10/795,967 filed March 8, 2004 and for any and all application(s) for Letters Patent in the United States of America and throughout the world, and all divisional, continuation, continuation-in-part, substitutions, renewals, reissues, extensions and other applications pertaining to the foregoing which have or shall be filed in the United States, any foreign country and other jurisdictions (hereinafter collectively referred to as the "Works"); and

WHEREAS. Assignor agrees that, to the extent the Works are, by operation of law or otherwise, not deemed to be works made for hire within the meaning of the Copyright Act, (Title 17, U.S.C. Section 101, et seq.), Assignor agrees to assign all of his/her right, title and interest in and to the Works to Assignee, and further agrees to take such further actions and to execute such further instruments that Assignee might find reasonable or necessary to perfect or to evidence more clearly its right and claim to exclusive ownership of all of Assignor's worldwide intellectual property interests respecting the Works; and

WHEREAS, Assignor and Assignee now wish to perfect and to evidence more clearly the right and claim of Assignee to exclusive ownership of all of Assignor's intellectual property interests respecting the Works.

NOW, THEREFORE, in consideration of the rights granted to Assignor under the <u>University of Maryland Patent Policy</u> and <u>Copyright Policy</u>, as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time, and other good and valuable consideration furnished by Assignee to Assignor, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee, intending to be legally bound, do hereby covenant and agree as follows:

## Section 1. Assignment of the Works.

Page 2

Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth

LS-2002-012

# Section 2. University of Maryland Copyright and Patent Policies.

The assignment of rights perfected hereunder shall be governed by the <u>University of Maryland</u>

Patent Policy and <u>Copyright Policy</u> as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time. Royalty income shall be allocated as set forth in those policies.

### Section 3. Warranty.

Assignor warrants and covenants that he/she is an author or inventor of the Works and that as of the date of this Assignment, has taken no action respecting the Works which purports or attempts to transfer or encumber any right, title or interest in or to the Works to any other party; and covenants not to take such action in the future.

## Section 4. Jurisdiction.

The validity, interpretation, and effect of this agreement shall be governed by the laws of the State of Maryland and of the United States of America. Any legal proceedings involving claims or disputes regarding this agreement shall be brought in the appropriate court in the State of Maryland.

WHEREAS, the parties have caused this Assignment to be executed on the dates below.

**ASSIGNOR** 

Agreed to by:

Printed Name:

M. Monica Giusti, Inventor

Date:

8/26/04

Date: Sept 13, 700 4

ASSIGNEE (The University of Maryland)

Acknowledged and Agreed to by:

Printed Name

James A. Poulos, III

Title:

Executive Director

### 1 10 2007 11.04

# INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

THIS AGREEMENT by and between: Minnie Malik, an individual having a principal residence at 3544 Softwood Terrace, Olney, Maryland 20832 (hereinafter referred to as "Assignor"), and the University of Maryland, College Park (hereinafter referred to as "Assignee").

### WITNESSETH:

WHEREAS, Assignor has created and developed certain inventions, improvements, discoveries, software, or other intellectual property, as described in Assignee Invention Disclosure No. LS-2002-012 and Attorney Docket No. 4010.3004 US1 entitled "Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth" and further described in application for Letters Patent in the United States serial number 10/795,967 filed March 8, 2004 and for any and all application(s) for Letters Patent in the United States of America and throughout the world, and all divisional, continuation, continuation-inpart, substitutions, renewals, reissues, extensions and other applications pertaining to the foregoing which have or shall be filed in the United States, any foreign country and other jurisdictions (hereinafter collectively referred to as the "Works"); and

WHEREAS, Assignor agrees that, to the extent the Works are, by operation of law or otherwise, not deemed to be works made for hire within the meaning of the Copyright Act, (Title 17, U.S.C. Section 101, et seq.), Assignor agrees to assign all of his/her right, title and interest in and to the Works to Assignee, and further agrees to take such further actions and to execute such further instruments that Assignee might find reasonable or necessary to perfect or to evidence more clearly its right and claim to exclusive ownership of all of Assignor's worldwide intellectual property interests respecting the Works; and

WHEREAS, Assignor and Assignce now wish to perfect and to evidence more clearly the right and claim of Assignee to exclusive ownership of all of Assignor's intellectual property interests respecting the Works.

NOW, THEREFORE, in consideration of the rights granted to Assignor under the <u>University of Maryland Patent Policy</u> and <u>Convright Policy</u>, as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time, and other good and valuable consideration furnished by Assignee to Assignor, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee, intending to be legally bound, do hereby covenant and agree as follows:

# Section 1. Assignment of the Works.

Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth

LS-2002-012

# Section 2. <u>University of Maryland Copyright and Patent Policies</u>.

The assignment of rights perfected hereunder shall be governed by the <u>University of Maryland</u>
Patent Policy and <u>Copyright Policy</u> as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time. Royalty income shall be allocated as set forth in those policies.

# Section 3. Warranty.

Assignor warrants and covenants that he/she is an author or inventor of the Works and that as of the date of this Assignment, has taken no action respecting the Works which purports or attempts to transfer or encumber any right, title or interest in or to the Works to any other party; and covenants not to take such action in the future.

### Section 4. Jurisdiction.

The validity, interpretation, and effect of this agreement shall be governed by the laws of the State of Maryland and of the United States of America. Any legal proceedings involving claims or disputes regarding this agreement shall be brought in the appropriate court in the State of Maryland.

WHEREAS, the parties have caused this Assignment to be executed on the dates below.

**ASSIGNOR** 

Agreed to by:

Printed Name:

Minnie Malik, Inventor

Date: Aug 10, 2014

ASSIGNEE (The University of Maryland)

Acknowledged and Agreed to by:

Printed Name:

Title:

James A. Poulos, II

Date: Sept 13, 2007

**BEST AVAILABLE COPY** 

THIS AGREEMENT by and between: Cuiwei Zhao, an individual having a principal residence at 3512 N. Chatham Road, Ellicott City, Maryland 21042 (hereinaster referred to as "Assignor"), and the University of Maryland, College Park (hereinaster referred to as "Assignee").

## WITNESSETH:

WHEREAS, Assignor has created and developed certain inventions, improvements, discoveries, software, or other intellectual property, as described in Assignee Invention Disclosure No. LS-2002-012 and Attorney Docket No. 4010.3004 US1 entitled "Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth" and further described in application for Letters Patent in the United States serial number 10/795,967 filed March 8, 2004 and for any and all application(s) for Letters Patent in the United States of America and throughout the world, and all divisional, continuation, continuation-inpart, substitutions, renewals, reissues, extensions and other applications pertaining to the foregoing which have or shall be filed in the United States, any foreign country and other jurisdictions (hereinafter collectively referred to as the "Works"); and

WHEREAS, Assignor agrees that, to the extent the Works are, by operation of law or otherwise, not deemed to be works made for hire within the meaning of the Copyright Act, (Title 17, U.S.C. Section 101, et seq.), Assignor agrees to assign all of his/her right, title and interest in and to the Works to Assignee, and further agrees to take such further actions and to execute such further instruments that Assignee might find reasonable or necessary to perfect or to evidence more clearly its right and claim to exclusive ownership of all of Assignor's worldwide intellectual property interests respecting the Works; and

. WHEREAS, Assignor and Assignee now wish to perfect and to evidence more clearly the right and claim of Assignee to exclusive ownership of all of Assignor's intellectual property interests respecting the Works.

NOW, THEREFORE, in consideration of the rights granted to Assignor under the University of Maryland Patent Policy and Copyright Policy, as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time, and other good and valuable consideration furnished by Assignee to Assignor, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee, intending to be legally bound, do hereby covenant and agree as follows:

## Section 1. Assignment of the Works.

Anthocyanin-Rich Compositions and Methods for Inhibiting Cancer Cell Growth

LS-2002-012

### Section 2. University of Maryland Copyright and Patent Policies.

The assignment of rights perfected hereunder shall be governed by the University of Maryland Patent Policy and Copyright Policy as approved by the Board of Regents of the University of Maryland, and as amended by them from time to time. Royalty income shall be allocated as set forth in those policies.

### Section 3. Warranty.

Assignor warrants and covenants that he/she is an author or inventor of the Works and that as of the date of this Assignment, has taken no action respecting the Works which purports or attempts to transfer or encumber any right, title or interest in or to the Works to any other party; and covenants not to take such action in the future.

### Section 4. Jurisdiction.

The validity, interpretation, and effect of this agreement shall be governed by the laws of the State of Maryland and of the United States of America. Any legal proceedings involving claims or disputes regarding this agreement shall be brought in the appropriate court in the State of Maryland.

WHEREAS, the parties have caused this Assignment to be executed on the dates below.

**ASSIGNOR** 

Agreed to by:

Printed Name:

9/15/04 Date:

ASSIGNEE (The University of Maryland)

Acknowledged and Agreed to by:

Printed Name: Title:

Executive Director